

3731

Jun. 18. 2004 1:51PM INGRASSIA FISHER & LORENZ PC

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JUN 18 2004

OFFICIAL**FACSIMILE TRANSMITTAL SHEET**

| | |
|------------------------------|---------------------------------------|
| TO: | FROM: |
| Baxter, Jessica R., Examiner | Vincent B. Ingrassia, Reg. No. 25,732 |
| COMPANY: | DATE: |
| USPTO | JUNE 18, 2004 |
| FAX NUMBER: | TOTAL NO. OF PAGES INCLUDING COVER: |
| 703-872-9306 | 17 |
| PHONE NUMBER: | SENDER'S REFERENCE NUMBER: |
| 703-308-4357 | P1046 US (009.1000) |
| REC: | RECIPIENTS REFERENCE NUMBER: |
| RCE | 09/965,473 |

URGENT FOR REVIEW PLEASE COMMENT PLEASE REPLY PLEASE RECYCLE

NOTES/COMMENTS:

EXAMINING GROUP ART UNIT 3731
FORMAL COMMUNICATION
INTENDED FOR ENTRY

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PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|----|------------------------|---------------------|
| | | Application Number | 09/965,473 |
| | | Filing Date | 09/26/2001 |
| | | First Named Inventor | Terry A. Guinan |
| | | Art Unit | 3731 |
| | | Examiner Name | Baxter, Jessica R. |
| Total Number of Pages in This Submission | 16 | Attorney Docket Number | P1046 US (009.1000) |

ENCLOSURES (check all that apply)

| | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawings(s) | <input type="checkbox"/> After Allowance Communication to Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | RCE Transmittal; Request for Continued Examination. |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|---|
| Firm or Individual name | Vincent B. Ingrassia, Reg. No. 25,732 |
| Signature |  |
| Date | June 18, 2004 |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

| | | | |
|----------------------|---|------|---------------|
| Type or printed name | Vincent B. Ingrassia | | |
| Signature |  | Date | June 18, 2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. SEND FEES OR COMPLETED FORMS TO: Mail Stop _____, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 880.00)

Complete if Known

| | |
|----------------------|---------------------|
| Application Number | 09/966 473 |
| Filing Date | 09/26/2001 |
| First Named Inventor | Terry A. Guinan |
| Examiner Name | Baxter, Jessica R. |
| Art Unit | 3731 |
| Attorney Docket No. | P1046 US (009.1000) |

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None Deposit Account

50-2091

Deposit Account Number

Ingrassia Fisher & Lorenz, P.C.

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES
Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | .55 | Extension for reply within first month | 110.00 |
| 1252 | 420 | 2252 | 215 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,610 | 1451 | 1,510 | Petition to Institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 655 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 840 | 2603 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1808 | 180 | 1808 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | 770.00 |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | Extra Claims | Fee from below | Fee Paid |
|--------------------|------|--------------|----------------|----------|
| Total Claims | -20* | x | 18.00 | = |
| Independent Claims | -3** | x | 86.00 | = |
| Multiple Dependent | | | | = |

| Large Entity | Small Entity | Fee Description |
|---------------|---------------|---|
| Fee Code (\$) | Fee Code (\$) | |
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 88 | 2201 43 | Independent claims in excess of 3 |
| 1203 280 | 2203 146 | Multiple dependent claim, if not paid |
| 1204 88 | 2204 43 | **Reissue independent claims over original patent |
| 1205 18 | 2205 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

* or number previously paid, # greater; For Reissues, see above

Other fee (specify)

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$880.00)

SUBMITTED BY

Complete if applicable

| | | | | | |
|-------------------|---|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Vincent B. Ingrassia | Registration No. (Attorney/Agent) | 25,732 | Telephone | (480) 385-5060 |
| Signature |  | | | Date | June 18, 2004 |

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